

# Chernoff equivalence

for the methods of averaging of semigroups,  
generating by the Schrödinger-type operators

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# Structure

1. Motivation
2. Preliminary discussion
3. Problem statement
4. The averaging procedure of one-parameter semigroups
5. Examples

## Motivaton

In general concept of a linear quantization the kernel  $\tilde{H}(x, y)$  of operator  $\hat{H}$  corresponding to its classical symbol  $H(q, p)$  is given by the formula:

$$\tilde{H}(x, y) = \int_{\Gamma} K(q, p|x, y)H(q, p)dqdp,$$

where  $\Gamma$  is a phase space of classical system,  $q, p \in R^n$  are phase coordinates,  $\hat{H} \in \mathcal{H}(L_2(R^n))$ , and quantization kernel is given by the formula:

$$K(q, p|x, y) = \frac{1}{2\pi} \int_0^1 \delta(q - \tau x - (1 - \tau)y) e^{ip(x-y)} Q(\tau) d\tau,$$

# Motivaton

*L. A. Borisov, Yu. N. Orlov* Analyzing the dependence of finite-fold approximations of the harmonic oscillator equilibrium density matrix and of the Wigner function on the quantization prescription. TPh, 184:1 ('15)

List of the quantization rules:

- Weyl quantization:  $Q(\tau) = \delta(\tau - 1/2)$
- Jordan quantization:  $Q(\tau) = \frac{1}{2}(\delta(\tau) + \delta(\tau - 1))$
- Born quantization:  $Q(\tau) = 1$

In general:

$$\tilde{H}(x, y) = \int_0^1 \tilde{H}_\tau(x, y) Q(\tau) d\tau,$$

How to construct formulas for  $\tilde{H}$  in terms of  $\tilde{H}_\tau$ ,

where  $\tilde{H}$  is obviously an average value of  $\tilde{H}_\tau$ ?

# Chernoff theorem

Chernoff P.R., Note on product formulas for operator semigroups, JFA. ('68)

## Theorem

*Let  $X$  be a Banach space. Let  $F : [0, \infty) \rightarrow L(X)$  be a strongly continuous mapping such that  $F(0) = I$ ,  $\|F(t)\| \leq \exp(at)$  for some  $a \in \mathbb{R}$ ,  $D$  be a linear subspace in  $D(F'(0))$  and the restriction of  $F'(0) \rightarrow D$  be a closable operator whose closure we denote by  $C$ . If  $C$  is the generator of a strongly continuous semigroup  $\exp(tC)$ , then  $F(t/n)^n$  converges to  $\exp(tC)$  as  $n \rightarrow \infty$  in the strong operator topology uniformly with respect to  $t \in [0, T]$  for each  $T > 0$ .*

# STT Formula

*O.G.Smolyanov, A.G.Tokarev, A.Truman* Hamiltonian Feynman path integrals via the Chernoff formula, *J.Math.Phys.*, **43**:10 ('02)

$$\exp(t\hat{H}) = \lim_{n \rightarrow \infty} \widehat{\exp\left(\frac{tH}{n}\right)}^n,$$

where  $H$  is a classical symbol of  $\hat{H}$

## Chernoff equivalence

*Yu. N. Orlov, V. Zh. Sakbaev, O. G. Smolyanov* Feynman formulas as a method of averaging random Hamiltonians, Proceedings of the Steklov Institute of Mathematics. **285** ('14)

### Definition

The operator-valued functions  $\mathbf{F}, \mathbf{G} \in \Pi$  is said to be Chernoff equivalent if for every  $T > 0$  and every  $u \in X$  the condition

$$\lim_{n \rightarrow \infty} \sup_{t \in [0, T]} \|((\mathbf{G}(\frac{t}{n}))^n - (\mathbf{F}(\frac{t}{n}))^n)u\| = 0 \text{ is satisfied.}$$

$\Pi$  is a set of strongly continuous operator-valued functions  $F : [0, \infty) \rightarrow B(X)$  that satisfy the condition  $F(0) = \mathbf{I}$  and the condition  $\|F(t)\|_{B(X)} \leq 1 + ct, t \in [0, \delta)$ , for some  $c \geq 0$  and have a derivative  $F'(0)$  at zero whose closure serves as a generator of a strongly continuous semigroup.

# Problem Statement

Let a partial Hamiltonian  $\hat{H}_\tau$  generates a one parameter semigroup and average Hamiltonian  $\hat{H}$  generates a semigroup for corresponding Cauchy problem for Schrödinger equation.

In what sense can this semigroup be treated as an average semigroup?



# Averaging Theorem

## Theorem

Let  $A_n$  be a sequence of self-adjoint operators in a Hilbert space  $H$ . Let  $\mu_n$  be a sequence of nonnegative numbers such that the sum of the series of these numbers is equal to one. Suppose that there exists a number  $m \in \mathbb{N}$  such that for all  $n \geq m + 1$  the operators  $A_n$  are bounded and the series  $\sum_{k=m+1}^{\infty} \mu_k \|A_k\|$  converges. Suppose also that there exists a linear subspace  $D \subset H$  that is an essential domain of each of the operators  $A_n$ ,  $n \in 1, \dots, m$  and  $S_n = \sum_{k=1}^n \mu_k A_k$ ,  $n \in 1, \dots, m$ . Then the mean value of the random semigroup  $F(t) = \sum_{n \in \mathbb{N}} \exp(-itA_n) \mu(n)$ ,  $t \geq 0$  is Chernoff equivalent to the unitary group  $U(t) = \exp(-itS)$ ,  $t \in \mathbb{R}$ , where  $S = \sum_{k=1}^{\infty} \mu_k A_k$ .

# Proposition 1

Let  $H = L_2(R)$  and for any  $\varepsilon \in R$  and any  $v \in R$  there exists a family (not a semigroup) of transformations  $\mathbf{U}_{\varepsilon, v}(t)$ ,  $t \geq 0$  of a set  $H$  in according to the formula

$$\mathbf{U}_{\varepsilon, v}(t)u(x) = u(x + vt + \varepsilon t^{1/2}), \quad t \geq 0.$$

Let also there exists on  $R$  a probabilistic measure  $\mu$  with a density  $p_\mu$  such that  $p_\mu$  is even function and there exists a second and a third finite moments ( $\int_R \varepsilon^2 p_\mu(\varepsilon) d\varepsilon = D > 0$ ,  $\int_R |\varepsilon|^3 p_\mu(\varepsilon) d\varepsilon < \infty$ ).

Then for any  $v \in R$  a family of averaging transformations

$$\mathbf{U}_v^\mu(t) = \int_R \mathbf{U}_{\varepsilon, v}(t) p_\mu(\varepsilon) d\varepsilon, \quad t \geq 0,$$

is Chernoff equivalent to a semigroup solving the Cauchy value problem for the Heat equation

$$u'_t = Du''_{xx} + vu'_x, \quad t > 0, \quad x \in R; \quad u|_{t=+0} = u_0.$$

## Proposition 2

Let  $H = L_2(R)$  and for any  $\varepsilon \in R$  there exists a family (not a semigroup) of transformations  $\mathbf{U}_\varepsilon(t)$ ,  $t \geq 0$  of a set  $H$  in according to the formula

$$\mathbf{U}_\varepsilon(t)u(x) = u(x + \varepsilon t^{1/2}), \quad t \geq 0.$$

Let there exists a pseudomeasure  $\mu$  on  $R$  with density

$$p_\mu(x) = \frac{e^{i\pi/4}}{\sqrt{4\pi D}} e^{\frac{i}{4D}x^2}, \quad x \in R$$

Then a family of averaging transformations

$$\mathbf{U}^\mu(t) = \int_R \mathbf{U}_\varepsilon(t) d\mu(\varepsilon), \quad t \geq 0,$$

is Chernoff-equivalent to a semigroup (and even coincides with it) solving the Cauchy problem for the Schrödinger equation

$$iu'_t = Du''_{xx}, \quad t > 0, \quad x \in R; \quad u|_{t=+0} = u_0.$$

## Proposition 3

Let  $H = L_2(R)$  and for any  $\varepsilon \in R$  there exists a family of transformations  $\mathbf{U}_\varepsilon(t)$ ,  $t \geq 0$  of a set  $H$ , in according to the formula

$$\mathbf{U}_{\varepsilon,\sigma}(t)u(x) = u(x + \varepsilon t^\sigma), \quad t \geq 0; \quad \sigma > 0.$$

Let there exists an alternating measure  $\mu$  on  $R$ , such that Fourier transformation with respect to Lebesgue measure of its density  $p_\mu$  is defined by the equation

$$\hat{p}_\mu(\xi) = e^{-D|\xi|^{2\alpha}}, \quad \xi \in R, \alpha > 0$$

Then in case  $\sigma = \frac{1}{2\alpha}$  a family of averaging transformations

$$\mathbf{U}_\sigma^\mu(t) = \int_R \mathbf{U}_{\varepsilon,\sigma}(t) d\mu(\varepsilon), \quad t \geq 0,$$

is Chernoff equivalent to a semigroup (and even coincides with it) solving the Cauchy problem for the fractional-order diffusion equation

$$u'_t = -D(-\Delta)^\alpha u, \quad t > 0, x \in R; \quad u|_{t=+0} = u_0.$$

## Proposition 4

Let  $H = L_2(R)$  and for any  $\varepsilon \in R$  there exists a family of transformations  $\mathbf{U}_\varepsilon(t)$ ,  $t \geq 0$  of a set  $H$  in according to the formula

$$\mathbf{U}_\varepsilon(t)u(x) = u(x + \varepsilon t), \quad t \geq 0.$$

Let there exists a one-parameter family of alternating measures  $\mu(t)$ ,  $t \geq 0$  on  $R$ , such that Fourier transformation with respect to Lebesgue measure of its density  $\rho_{\mu(t)}$  is defined by the equation

$$\hat{\rho}_{\mu(t)}(\xi) = e^{-D\sqrt{|\xi|^2+t^2}}, \quad \xi \in R$$

Then a family of averaging transformations

$$\mathbf{U}^\mu(t) = \int_R \mathbf{U}_\varepsilon(t) d\mu(\varepsilon), \quad t \geq 0,$$

is Chernoff-equivalent to a semigroup solving the Cauchy problem for the equation

$$u'_t = -D\sqrt{(-\Delta) + \mathbf{1}}u, \quad t > 0, \quad x \in R; \quad u|_{t=+0} = u_0.$$

## Proposition 5

Let  $H = L_2(R)$  and for any  $\varepsilon \in R$  there exists a family of transformations  $\mathbf{U}_\varepsilon(t)$ ,  $t \geq 0$  of a set  $H$  in according to the formula

$$\mathbf{U}_\varepsilon(t)u(x) = u(x + \varepsilon t), \quad t \geq 0.$$

Let there exists a one-parameter family of complex pseudomeasures  $\mu(t)$ ,  $t \geq 0$  on  $R$  such that Fourier transformation with respect to Lebesgue measure of its density  $\hat{p}_{\mu(t)}$  is defined by the equation

$$\hat{p}_{\mu(t)}(\xi) = e^{-iD\sqrt{|\xi|^2+t^2}}, \quad \xi \in R$$

Then a family of averaging transformations

$$\mathbf{U}^\mu(t) = \int_R \mathbf{U}_\varepsilon(t) d\mu(\varepsilon), \quad t \geq 0,$$

is Chernoff-equivalent to a semigroup solving the Cauchy problem for the equation

$$u'_t = -iD\sqrt{(-\Delta) + \mathbf{I}}u, \quad t > 0, \quad x \in R; \quad u|_{t=+0} = u_0.$$

# Summary

- The averaging procedure of one-parameter semigroups, based on Chernoff equivalence for operator-functions is constructed.
- The initial value problem solutions are investigated for fractional diffusion equation and for Schrödinger equation with relativistic Hamiltonian of freedom motion.
- It is established that in these examples the quantization can be treated as averaging of random translation operators in classical coordinate space.

# Grazie per l'attenzione!